

1 Lawrence P. Riff (SBN 104826)  
lriff@steptoe.com  
2 Lynn R. Levitan (SBN 176737)  
llevitan@steptoe.com  
3 STEPTOE & JOHNSON LLP  
633 West Fifth Street, Suite 700  
4 Los Angeles, California 90071  
Telephone: (213) 439-9400  
5 Facsimile: (213) 439-9599

6 Attorneys for Defendant FIDELITY AND  
DEPOSIT COMPANY OF MARYLAND  
7

8 UNITED STATES DISTRICT COURT  
9 SOUTHERN DISTRICT OF CALIFORNIA  
10

11 LPL FINANCIAL CORPORATION,  
12 formerly known as LINSICO/PRIVATE  
LEDGER CORP.,  
13

14 Plaintiff,

15 vs.

16 FIDELITY AND DEPOSIT  
17 COMPANY OF MARYLAND,  
18

Defendant.  
19

**Case No.: 08CV0038 JAH BLM**

*Assigned to Hon. John A. Houston*

**RULE 7.1 DISCLOSURE  
STATEMENT FOR DEFENDANT  
FIDELITY AND DEPOSIT  
COMPANY OF MARYLAND**

20 Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendant  
21 Fidelity and Deposit Company of Maryland ("F&D") submits this disclosure  
22 statement:

23 F&D is a wholly owned subsidiary of Zurich American Insurance Company.  
24 Zurich American Insurance Company is a wholly owned subsidiary of Zurich  
25 Holding Company of America, Inc. Zurich Holding Company of America, Inc. is  
26 owned 99.87% by Zurich Insurance Company. Zurich Insurance Company is a  
27 wholly owned subsidiary of Zurich Group Holding. Zurich Group Holding is  
28 owned 43% by Allied Zurich p.l.c and 57% by Zurich Financial Services. Allied

1 Zurich p.l.c is a wholly owned subsidiary of Zurich Financial Services. Zurich  
2 Financial Services is the only publicly traded parent company, with a primary  
3 listing on the Swiss stock exchange.

4 Contemporaneous with the filing of this Rule 7.1 Disclosure Statement,  
5 F&D files its Notice of Party with Financial Interest.

6 Dated: July 2nd, 2008

Respectfully submitted,

7  
8 STEPTOE & JOHNSON LLP

9 \_\_\_\_\_  
/s/

10 Lawrence P. Riff

Lynn R. Levitan

11 STEPTOE & JOHNSON LLP

12 633 West Fifth Street, Suite 700

13 Los Angeles, California 90071

14 Antonia B. Ianniello

(admitted *pro hac vice*)

15 Paul Janaskie

16 (admitted *pro hac vice*)

17 STEPTOE & JOHNSON LLP

18 1330 Connecticut Avenue, N.W.

Washington, DC 20036

19 Attorneys for Defendant

20 FIDELITY AND DEPOSIT

21 COMPANY OF MARYLAND

**CERTIFICATE OF SERVICE**

I hereby certify that on July 2, 2008, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following: Amy B. Briggs, Esq.

At Los Angeles, California, this 2nd day of July 2008.

STEPTOE & JOHNSON LLP

/s/

Lynn R. Levitan (SBN 176737)  
llevitan@steptoe.com  
STEPTOE & JOHNSON LLP  
633 West Fifth Street, Suite 700  
Los Angeles, California 90071  
Telephone: (213) 439-9400  
Facsimile: (213) 439-9599